

## Chapter 2

### DISCLOSURE OF ECONOMIC INTERESTS

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#### Article 1

##### Conflict of Interest Code

#### Sec.

7200. Political Reform Act - Incorporation of Title 2, California Code of Regulations  
7205. Filing of Statements of Economic Interest

#### **§ 7200. Political Reform Act - Incorporation of the Title 2, California Code of Regulations.**

The Political Reform Act (Government Code Section 81000, et seq.) requires state and local government agencies to adopt and promulgate conflict-of-interest codes. The Fair Political Practices Commission has adopted a regulation, (2 Cal. Code of Regs. Sec. 18730) that contains the terms of a standard conflict-of-interest code, which can be incorporated by reference in an agency's code. After public notice and hearing, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 California Code of Regulations Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the following sections in this Division VII, designating positions and establishing disclosure categories, shall constitute the conflict-of-interest code of The Metropolitan Water District of Southern California ("Metropolitan").

Section 361.1, M.I. 32171 – February 14, 1978; amended by M.I. 33561 – January 13, 1981; Sec. 361.1 repealed and Sec. 7100 adopted by M.I. 36464 – January 13, 1987, effective April 1, 1987; amended by M.I. 38350 – July 10, 1990; amended by M.I. 38584 – November 20, 1990; amended by M.I. 40504 – October 12, 1993; preliminary amended by M.I. 41210 – January 10, 1995; approval with change by FPPC – February 14, 1995 and final Board approval by M.I. 41309 – March 14, 1995; preliminary amendment by M.I. 45156 – January 14, 2003; former Sec. 7100 renumbered 7200 by M.I. 46109 – February 8, 2005; amended by M.I. 48847 – October 11, 2011.

#### **§ 7205. Filing of Statement of Economic Interests.**

Individuals holding designated positions shall file their statements of economic interests with Metropolitan, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) Upon receipt of the statements for the Board of Directors and other designated Public Officials Who Manage Public Investments (see Section 7221), Metropolitan shall make and retain copies and forward the originals to the Fair Political Practices Commission. All other statements will be retained by Metropolitan.

Section 361.2 – M.I. 32171 – February 14, 1978; amended by M.I. 33561 – January 13, 1981; Sec. 361.2 repealed and Sec. 7105 adopted by M.I. 36464 – January 13, 1987, effective April 1, 1987; amended by FPPC in their letter dated 1/19/94; preliminary amendment by M.I. 41210 – January 10, 1995, approval with change by FPPC – February 14, 1995, and final Board approval by M.I. 41309 – March 14, 1995; preliminary amendment by M.I. 45156 – January 14, 2003; former Sec. 7105 renumbered 7205 by M.I. 46109 – February 8, 2005; amended by M.I. 48847 – October 11, 2011.

## Article 2

### DESIGNATED POSITIONS AND CATEGORIES OF DISCLOSURE INDEX

Sec.

7210. Reportable Interests

**7211. Designated Positions**

**7212. Public Officials Who Manage Public Investments**

**7213. Consultants and New Positions**

#### § 7210. Reportable Interests

A reportable interest includes any investment, business position, source of income (including gifts, travel payments, or loans), or interest in real property.

- (a) For investments, business positions, and sources of income, an interest is reportable if it is engaged in the industries, activities, or services described in the disclosure category applicable to the designated position.
- (b) For interests in real property, an interest is reportable if it falls within the description for Category 11.

Category	Subject Matter	Disclosable Interests
1	<i>Full disclosure (excluding real property)</i>	Any interest within Categories 2 through 10.
2	<i>Legal, Audit, Ethics</i>	Law practice, auditing, public accounting, ethics and compliance, investigations, risk management, workers compensation, insurance, or related field.
3	<i>Finance</i>	Banking, investments, financial services, insurance, financial analysis, accounting, municipal finance, rate-setting, or related field.
4	<i>Engineering &amp; Operations</i>	Engineering, design, construction, power operations, project management, planning, environmental impacts analysis, geosciences, water treatment, water quality, water infrastructure operations and maintenance, operations-related health and safety, or related field.
5	<i>Information Technology</i>	Information technology, computer hardware, software, graphics, or related field.
6	<i>Water Resource Management, Bay-Delta, Colorado River</i>	Water resource management, planning, economics, engineering, water conservation, or related field.
7	<i>Real Property Development</i>	Real estate transactions, appraisals, financing, development, or related field.

<b>8</b>	<i>Human Resources</i>	Human resources planning, training, administration or related field. Any employee association or labor union.
<b>9</b>	<i>External Affairs</i>	Public policy, advocacy, public relations, lobbying, media, outreach, communications, travel, or related field.
<b>10</b>	<i>Administrative Services</i>	Goods or services of the type used or procured by the designated position's department, office, division, or area. - Examples of applicable goods may include: office equipment, office supplies, document management materials, vehicle parts, security-related materials, or maintenance materials. - Examples of applicable services may include: document support, archiving, records management, building services, maintenance services, security services, or automotive fleet services.
<b>11</b>	<i>Real Property</i>	Any interest in real property: (a) within the jurisdiction that is used by Metropolitan, or is of a type that Metropolitan has identified for potential acquisition, as well as real property within two miles of the property used or the potential site; (b) within two miles of any parcel or other location proposed for annexation to Metropolitan; or (c) within two miles of any parcel or other location outside the jurisdiction that Metropolitan has identified for acquisition, sale, or as a potential source of water or water rights.
<b>12</b>	<i>Subject of audit or investigation</i>	Any investment, source of income (including gifts, travel payments, or loans) or real property that was the subject of an audit or investigation assignment during the period covered by the statement.

Section 361.8.1 - M.I. 33561 - January 13, 1981, amended by FPPC to add current Section 361.8.1.7 - effective May 6, 1981; Sections 361.1 through 361.8.1.7 - M.I. 32171 - February 14, 1978, amended and approved by FPPC May 2, 1978; further amended by M.I. 33561 - January 13, 1981, amended and approved by FPPC - effective May 6, 1981. Section 361.8.1 repealed and Section 7200 adopted by M.I. 36464 - January 13, 1987, effective April 1, 1987; amended by M.I. 36811 - September 22, 1987; amended by M.I. 37135 - May 10, 1988; deleted prior paragraphs (b), (d), (e) and (f), paragraphs (a), (b) and (c) amended by M.I. 38350 - July 10, 1990; amended by M.I. 38584 - November 20, 1990; paragraphs (a),(b), and (c) amended by M.I. 40504 - October 12, 1993; paragraphs (a)(2)(b) and (c) amended by M.I. 41210 - January 10, 1995 and approved by FPPC February 14, 1995; paragraphs (a) through (c) amended by M.I. 42148 - November 19, 1996; paragraphs (a)-(c) preliminary amendment by M. I. 45156 - January 14, 2003; paragraph (d) added by M. I. 46074 - January 11, 2005; Former Sec. 7200 renumbered 7210 and amended by M. I. 46109 - February 8, 2005 and approved by FPPC December 16, 2005; paragraphs (a) (1) - (4), paragraph (b) amended, and paragraph (d) repealed by FPPC letter dated December 20, 2005, all 2005 amendments to be effective January 15, 2006; paragraphs (a) (b) and (c) amended by M.I. 46910 - December 12, 2006; title and section amended by M.I. 48847 - October 11, 2011; Title and section amended by M.I. 50846 - June 13, 2017.

## § 7211. Designated Positions.

Listed below are the designated positions and the disclosure categories applicable for employees serving in those positions. Positions designated as public officials who manage public

investments (indicated by “87200” in category field) file statements directly with the Fair Political Practices Commission based upon Government Code disclosure criteria.

Assistant Ethics Officer	1
Assistant General Counsel	1, 11
Assistant General Manager/ Strategic Water Initiatives	1, 11
Assistant General Manager/Chief Administrative Officer	1, 11
Assistant General Manager/Chief External Affairs Officer	9
Assistant General Manager/Chief Financial Officer	87200
Assistant General Manager/Chief Operating Officer	1, 11
Assistant Group Manager (Engineering Services)	3,4,6,11
Assistant Group Manager (Water System Operations)	3, 4, 6, 11
Assistant Treasurer	87200
Assistant Unit Manager- Conveyance And Distribution	4
Bay-Delta Initiatives Manager	6, 11
Budget and Treasury Manager	87200
Chief Deputy General Counsel	1
Chief Deputy General Counsel- Labor Relations	1
Classification and Compensation Manager	8
Construction Inspector III, IV, V	4
Controllor	3
Deputy Auditor III	12
Deputy Ethics Officer (Advice, Compliance and Policy)	2, 12

Deputy Ethics Officer (Investigations, Outreach, and Education)	2, 12
Deputy General Auditor	1
Deputy General Counsel	1
Engineer - Bay-Delta, Water Resource Management	6
Engineer - Engineering Services, Water System Operations	4
Engineer – Information Technology	5
Environmental Specialist - Environmental Planning, Bay-Delta	6
Environmental Specialist - Water Systems Operations	4
Equal Employment Opportunity Manager	2,8
Ethics Officer	1
Executive Legislative Representative	9
Executive Strategist	1
General Auditor	1
General Counsel	1, 11
General Manager	87200
Group Manager- Engineering Services	3, 4, 6, 11
Group Manager-External Affairs	9
Group Manager-Human Resources	8
Group Manager- Information Technology	5
Group Manager-Real Property	7, 11
Group Manager-Water Resource Management	3, 4, 6, 11
Group Manager-Water System Operations	3, 4, 6, 11

Human Resources Information Systems Manager	5, 8
Information Technology Architect	5
Information Technology Specialist – Disaster Recovery	5
Inspection Trip Manager	9
Inspection Trip Specialist	9
Management Principal Analyst - Water Systems Operations	4
Manager of Administrative Services	10
Manager of Colorado River Resources	6, 11
Manager of Financial Services	3
Operations and Maintenance Supervisor	4
Organizational Development and Training Manager	8
Principal Administrative Analyst - Administrative Services, Facility Management	10
Principal Administrative Analyst - Bay-Delta, Environmental Planning, Water Resource Management	6
Principal Administrative Analyst - Business Outreach	1
Principal Administrative Analyst - Contracting Services	1
Principal Administrative Analyst - Engineering Services, Water System Operations	4
Principal Administrative Analyst - Finance	3

Principal Administrative Analyst - Information Technology	5
Principal Administrative Analyst - Legal Department	2, 12
Principal Administrative Analyst - Real Property	7, 11
Principal Administrative Analyst - External Affairs	9
Principal Administrative Analyst - Finance	3
Principal Administrative Analyst - Human Resources	8
Principal Architect	4
Principal Auditor	2, 12
Principal Buyer	1
Principal Employee Relations Specialist	8
Principal Engineer - Bay-Delta, Water Resource Management	6
Principal Engineer - Engineering Services, Water System Operations	4
Principal Engineer – Information Technology	5
Principal Engineering Technician – Administrative Services, Facility Management	10
Principal Engineering Technician – Information Technology	5
Principal Engineering Technician - Engineering Services, Water System Operations	4
Principal Engineering Technician - Real Property	7,11
Principal Engineering Technician - Water Resource Management	6

Principal Environmental Specialist - Bay-Delta, Environmental Planning	6
Principal Environmental Specialist - Water Systems Operations	4
Principal Human Resources Information Systems Analyst	5, 8
Principal Human Resources Training Specialist	8
Principal Information Technology Analyst	5
Principal Land Surveyor	4, 7
Principal Real Estate Representative	7, 11
Principal Resource Specialist - Administrative Services	10
Principal Resource Specialist - Bay-Delta, Water Resource Management	6
Principal Resource Specialist - Engineering Services, Water Systems Operations	4
Principal Resource Specialist - Finance	3
Principal Systems Analyst	5
Principal Training Administrator	4
Production Planner	4
Program Manager - Business Outreach	1
Program Manager - Engineering Services	4
Program Manager - Executive Office	1
Program Manager - External Affairs	9
Program Manager - Finance	3

Program Manager - Human Resources	8
Program Manager - Information Technology	5
Program Manager - Real Property	7, 11
Program Manager - Water Resources (including Bay-Delta and Colorado River programs)	6
Program Manager - Water System Operations	4
Real Estate Representative III	7, 11
Resource Specialist - Administrative Services	10
Resource Specialist - Annexations	7,11
Resource Specialist - Bay-Delta, Water Resource Management	6
Resource Specialist - Engineering Services, Water System Operations	4
Resource Specialist - Finance	3
Section Manager - Human Resources	8
Section Manager - Business Outreach	1
Section Manager - Director of Information Technology Services	5
Section Manager - Engineering Services	4
Section Manager - Environmental Planning	4, 6, 11
Section Manager - External Affairs	9
Section Manager – Water Resource Management	6
Section Manager - Finance	3
Section Manager - Water Systems Operations	4

Senior Administrative Analyst - Administrative Services, Facility Management	10
Senior Administrative Analyst - Bay-Delta, Environmental Planning, Water Resources Management	6
Senior Administrative Analyst - Business Outreach	1
Senior Administrative Analyst - Contracting Services	1
Senior Administrative Analyst - Engineering Services, Water System Operations	4
Senior Administrative Analyst - External Affairs	9
Senior Administrative Analyst - Finance	3
Senior Administrative Analyst - Information Technology	5
Senior Administrative Analyst - Real Property	7, 11
Senior Administrative Analyst - Human Resources	8
Senior Administrative Analyst – Legal Department	2,12
Senior Architect	4
Senior Buyer	1
Senior Deputy Auditor	12
Senior Deputy General Counsel	1
Senior Employee Relations Specialist	8
Senior Engineer - Bay-Delta, Water Resource Management	6

Senior Engineer - Engineering Services, Water System Operations	4
Senior Engineer - Information Technology	4,5
Senior Engineer - Legal Department	2,4,6
Senior Engineering Technician - Engineering Services, Water System Operations	4
Senior Engineering Technician – Information Technology	5
Senior Engineering Technician – Real Property	7,11
Senior Engineering Technician – Water Resource Management	6
Senior Engineering Technician - Facility Management	10
Senior Environmental Specialist - Environmental Planning, Bay-Delta, Water Resource Management	6
Senior Environmental Specialist - Water Systems Operations	4
Senior Information Systems Auditor	5
Senior Information Technology Project Controls Specialist	4, 5
Senior Information Technology Support Analyst - Laboratory Information Systems Specialist	5
Senior Occupational Safety & Health Specialist	4
Senior Project Controls Specialist	4,5

Senior Real Estate Representative	7,11
Senior Resource Specialist - Administrative Services	10
Senior Resource Specialist - Bay-Delta, Water Resource Management	6
Senior Resource Specialist - Engineering Services, Water Systems Operations	4
Senior Resource Specialist - Finance	3
Senior Water Quality Technician	4
Special Projects Manager - Engineering Services	4
Special Projects Manager - External Affairs	9
Special Projects Manager - Water Resource Management - Bay Delta	6
Staffing Manager	8
Surveying and Mapping Technician IV	5
Team Manager - Administrative Services	10
Team Manager - Contracting Services	1
Team Manager - Engineering Services	4
Team Manager - Environmental Planning	4, 6, 11
Team Manager - External Affairs	9
Team Manager - Facility Management	10
Team Manager - Finance	3
Team Manager - Human Resources	8

Team Manager - Information Technology	5
Team Manager - Real Property	7, 11
Team Manager - Water Resource Management	6
Team Manager - Water System Operations	4
Training Administrator	4
Treasurer / Assistant Section Manager	87200
Unit Manager - Administrative Services, Facility Management	10
Unit Manager - Audit Department	2, 12
Unit Manager - Contracting Services	1
Unit Manager - Engineering Services	4
Unit Manager - External Affairs	9
Unit Manager - Finance	3
Unit Manager - Human Resources	8
Unit Manager - Information Technology	5
Unit Manager - Real Property	7, 11
Unit Manager - Risk Management	3
Unit Manager - Water Resource Management	6
Unit Manager - Water System Operations	4
Workers' Compensation Manager	2



## **§ 7212. Public Officials Who Manage Public Investments.**

The following positions are not covered by the conflict-of-interest code because they must file under Government Code Section 87200 and, therefore, are listed for information purposes only:

Members of the Board of Directors  
General Manager  
Chief Financial  
Officer Treasurer  
Assistant Treasurer  
Budget and Treasury Manager

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe that their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Section 87200.

## **§ 7213. Consultants and New Positions.**

A “designated position” includes an individual serving in a new position in which the individual makes or participates in the making of decisions and an individual who is a consultant as defined in FPPC Regulation 18701. These individuals must file under the broadest disclosure set forth in this conflict-of-interest code. However, the Ethics Officer may determine disclosure that is tailored to the range of duties or contractual obligations for such individuals as long a clear explanation of the duties and disclosure requirements is provided in writing. Individuals serving in a new position must file under this provision until the position is added to this conflict-of-interest code. The written determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Section 81008, 82019; FPPC Regulations 18219 and 18734.)

Consultants providing the services of designated positions shall be included in the list of designated employees and shall disclose pursuant to the broadest disclosure category in the code subject to the following limitation:

The Ethics Officer may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The Ethics Officer's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict-of-interest code. (Gov. Code Sec. 81008.)

**Section 7211: [Former Section 7201]** Formerly Section 361.8.2 - M.I. 32171 - February 14, 1978, amended and approved by FPPC May 2, 1978; amended by M.I. 33034 - December 11, 1979; amended and approved by FPPC February 6, 1980; amended by M.I. 3356 - January 13, 1981; entire Chapter 361, as amended approved by FPPC May 4, 1982; amended by M.I. 34632 - March 8, 1983 and approved by FPPC June 6, 1983, amendments to be effective July 6, 1983; paragraph (a) [formerly Section 361.8.2.1] amended by M.I. 35433 - December 11, 1984. Section 361.8.2 repealed and Section 7201 adopted by M.I. 36464 - January 13, 1987; paragraph (b) amended by M.I. 38350 - July 10, 1990; amended by M.I. 38584 - November 20, 1990; amended by M.I. 40504 - October 12, 1993 and approved by FPPC February 11, 1994; amended by M.I. 41210 - January 10, 1995 and approved by FPPC February 14, 1995; Auditor's title amended by M.I. 43968 - April 11, 2000; paragraphs (a)-(c) amended as preliminary amendment by M. I. 45156 - January 14, 2003; amended by M. I. 46074 - January 11, 2005 and approved by FPPC December 16, 2005; Sec. 7201 - Officers of the District renumbered 7211 by M.I. 46109 - February 8, 2005; former Sec. 7201 - Officers of the District, renumber 7211 and amended by M. I. 46109 - February 8, 2005, all 2005 amendments to be effective January 15, 2006; paragraph (a) amended by M. I. 46910 - December 12, 2006 to be approved by FPPC at a later date; paragraph (a) amended by M.I. 47732 - December 9,